SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE Attorney Docket No. 08582/009002 (MODIFIED) PATENT AND TRADEMARK OFFICE Serial No. 09/592.007 Applicant Francis Tufaro et al. FORMATION DISCLOSURE STATEMENT BY APPLICANT NOV 2 9 2000 Filing Date June 12, 2000 se several sheets if necessary) Group 1614 **IDS Filed** November 27, 2000 U.S. PATENTS Examiner's Patent Number Issue Date Patentee Class Subclass Filing Date (If Appropriate) Initials 5,827,703 October 27, 1998 Debs et al. 435 172.3 May 19, 1994 5,827,707 October 27, 1998 Lamberti 435 178 June 7, 1995 5,728,399 March 17, 1998 Wu et al. 424 450 June 7, 1995 OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)  $^{
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RECEIVED SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE Attorney Docket No. 08582/009002 (MODIFIED) PATENT AND TRADEMARK OFFICE Serial No. 09/592.007 NFORMATION DISCLOSURE Applicant Francis Tufaro et al. STATEMENT BY APPLICANT Filing Date June 12, 2000 TECH CENTER 1600/290 ₩Use several sheets if necessary) Group 1614 **IDS Filed** November 27, 2000 OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) Haase et al., "Adenovirus-mediated transfer of the neurotrophin-3 gene into skeletal muscle of pmn mice: therapeutic effects and mechanisms of action," J. Neuro. Sci. 160(Suppl. 1):S97-105 (1998). VHarding et al., "Metabolic engineering as therapy for inborn errors of metabolism - development of mice with phenylalanine hydroxylase expression in muscle," Gene Therapy 5(5):677-683 (1998). Herzog et al., "Problems and prospects in gene therapy for hemophilia," Cur. Opin. Hemat. 5(5):321-326 (1998). Herzog et al., "Long-term correction of canine hemophilia B by gene transfer of blood coagulation factor IX mediated by adeno-associated viral vector," Nature Medicine 5(1):56-63 (1999). laccarino et al., "Targeting  $\mathsf{G}_{eta_{V}}$  signaling in arterial vascular smooth muscle proliferation: a novel strategy to limit restenosis," Proc. Natl. Acad. Sci. USA 96(7):3945-3950 (1999). Lee et al.,"Construction of vectors expressing bioactive heterodimeric and single-chain murine interleukin-12 for gene therapy," Human Gene Therapy 9(4):457-465 (1998). Montgomery et al., "Herpes simplex virus-1 entry into cells mediated by a novel member of the TNF/NGF receptor family," Cell 87(3):427-436 (1996). Nicolino et al., "Adenovirus-mediated transfer of the acid α-glucosidase gene into fibroblasts, myoblasts and myotubes from patients with glycogen storage disease type II leads to high level expression of enzyme and corrects glycogen accumulation," Human Mol. Gen. 7(11):1695-1702 (1998). Rafael et al., "Skeletal muscle-specific expression of a utrophin transgene rescues utrophin-dystrophin deficient mice," Nature Genetics 19(1):79-82 (1998). Shiotani et al., "Human insulinlike growth factor 1 gene transfer into paralyzed rat larynx," *Archives Otolaryngol* Head Neck Surg. 125(5):555-560 (1999). Tsurumi et al., "Treatment of acute limb ischemia by intramuscular injection of vascular endothelial growth factor gene," Circulation 96[suppl II]:II-382-II-388 (1997). Whitbeck et al., "Glycoprotein D of herpes simplex virus (HSV) binds directly to HVEM, a member of the tumor necrosis factor receptor superfamily and a mediator of HSV entry," J. Virol. 71(8):6083-6093 (1997). Yazaki et al., "Treatment of human malignant meningiomas by G207, a replication-competent multimutated herpes simplex virus 11," Cancer Res. 55(21):4752-4756 (1995). Yogalingam et al., "Regulation of N-acetylgalactosamine 4-sulfatase expression in retrovirus-transduced feline mucopolysaccharidosis type VI muscle cells," DNA & Cell Biol. 18(3):187-195 (1999). Yukawa et al., "In vitro growth suppression of vascular smooth muscle cells using adenovirus-mediated gene transfer of a truncated form of fibroblast growth factor receptor," Atherosclerosis 141(1):125-132 (1998). Zhai et al., "Modulation of alloimmunity to major histocompatibility complex class I by cotransfer of cytokine genes in vivo," Transplant Immunology 6(3):169-175 (1998). DATE CONSIDERED **EXAMINER** EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.